

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Michael W. SHAPIRO                      ART UNIT: 2122  
SERIAL NO.: 10/713,651                                      EXAMINER:  
FILED: November 14, 2003  
TITLE: MECHANISM FOR SAFE BYTE CODE IN A TRACING  
FRAMEWORK

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[ XX ] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[ ] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [ ] the fee set forth in § 1.17(p); or
- [ ] the statement specified in § 1.97(e).

[ ] This Statement is being filed under 37 CFR 1.97(d), but prior to the payment of the issue fee. This Statement is accompanied by:

- (1) the statement specified in § 1.97(e); and
- (2) the fee set forth in § 1.17(p).

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for filing this paper. However, if Applicants are in error, the Director is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 03226.344001 for any additional fees and/or credits.

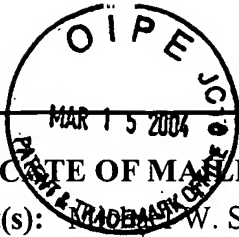
Respectfully submitted,

Dated: 3/11/04

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<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)</b> Applicant(s): <b>IRVING W. SHAPIRO</b>			<b>Docket No.</b> 03226.344001; SUN040244
<b>Serial No.</b> 10/713,651	<b>Filing Date</b> 11/14/2003	<b>Examiner</b>	<b>Group Art Unit</b> 2122
<b>Invention:</b> <b>MECHANISM FOR SAFE BYTE CODE IN A TRACING FRAMEWORK</b>			
<p>I hereby certify that this</p> <p style="text-align: center;"><u>Information Disclosure Statement</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to:</p> <p>Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on <u>March 11, 2004</u> (Date)</p> <p style="text-align: center;"><u>Brenda C. McFadden</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: center;"><u>Brenda C. McFadden</u> (Signature of Person Mailing Correspondence)</p>			
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number	10/713,651
Filing Date	November 14, 2003
First Named Inventor	Michael W. SHAPIRO
Group Art Unit	2122
Examiner Name	
Attorney Docket Number	03226.344001; SUN040244

## U.S. PATENT DOCUMENTS

U.S. Patent Document					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

## FOREIGN PATENT DOCUMENTS

Foreign Patent Document							
Examiner initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T**

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner initials	Cite No.	References	T**
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	A2	Murayama, John; "Performance Profiling Using TNF"; [Online]; <a href="http://developers.sun.com/solaris/articles/tnf.html">http://developers.sun.com/solaris/articles/tnf.html</a> , July 2001	
	A3	Lorch, Jacob R. and Smith, Alan J.; "The Vtrace Tool: Building a system Tracer for Windows NT and Windows 2000"; [Online] <a href="http://msdn.microsoft.com/msdnmag/issues/1000/Vtrace/default.aspx">http://msdn.microsoft.com/msdnmag/issues/1000/Vtrace/default.aspx</a> , October 2000	
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.